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PTO/SB/05 (4/98)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 219.39280X00

First Inventor or Application Identifier Arlin R. DAVIS

Title See 1 in Addendum

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 24]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]  
4. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) filed in prior application  
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Credit Card Payment Form  
Unexecuted Declaration

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. /

Prior application Information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Frederick D. Bailey

Registration No. (Attorney/Agent)

42,282

Signature

*Frederick D. Bailey*

Date

Dec. 28, 2000

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT (\$)** 746.00

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | NEW APPLICATION   |
| Filing Date          | December 28, 2000 |
| First Named Inventor | Arlin R. DAVIS    |
| Examiner Name        |                   |
| Group / Art Unit     |                   |
| Attorney Docket No.  | 219.39280X00      |

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **01-2135**

Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP**

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:  
☐ Check ☐ Money Order ☒ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 690                    | 201 345                    | Utility filing fee     | 710.00   |
| 106 310                    | 206 155                    | Design filing fee      |          |
| 107 480                    | 207 240                    | Plant filing fee       |          |
| 108 690                    | 208 345                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 710.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 22                 | 20** = 2     | 18             | 36       |
| Independent Claims | 3 - 3** = 0  | 80             | 0        |
| Multiple Dependent |              |                | 0        |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |          |
| 102 78                     | 202 39                     | Independent claims in excess of 3                          |          |
| 104 260                    | 204 130                    | Multiple dependent claim, if not paid                      |          |
| 109 78                     | 209 39                     | ** Reissue independent claims over original patent         |          |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |          |

**SUBTOTAL (2) (\$)** 36.00

## FEE CALCULATION (continued)

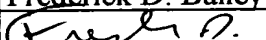
### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  | 0.00     |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     | 0.00     |
| 139 130                    | 139 130                    | Non-English specification  | 0.00     |
| 147 2,520                  | 147 2,520                  | For filing a request for reexamination                                     | 0.00     |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115 110                    | 215 55                     | Extension for reply within first month                                     | 0.00     |
| 116 380                    | 216 190                    | Extension for reply within second month                                    | 0.00     |
| 117 870                    | 217 435                    | Extension for reply within third month                                     | 0.00     |
| 118 1,360                  | 218 680                    | Extension for reply within fourth month                                    | 0.00     |
| 128 1,850                  | 228 925                    | Extension for reply within fifth month                                     | 0.00     |
| 119 300                    | 219 150                    | Notice of Appeal   | 0.00     |
| 120 300                    | 220 150                    | Filing a brief in support of an appeal                                     | 0.00     |
| 121 260                    | 221 130                    | Request for oral hearing   | 0.00     |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              | 0.00     |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   | 0.00     |
| 141 1,210                  | 241 605                    | Petition to revive - unintentional   | 0.00     |
| 142 1,210                  | 242 605                    | Utility issue fee (or reissue)   | 0.00     |
| 143 430                    | 243 215                    | Design issue fee   | 0.00     |
| 144 580                    | 244 290                    | Plant issue fee  | 0.00     |
| 122 130                    | 122 130                    | Petitions to the Commissioner  | 0.00     |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              | 0.00     |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  | 0.00     |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) | 0.00     |
| 146 690                    | 246 345                    | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |
| 149 690                    | 249 345                    | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |
| Other fee (specify) _____  |                            |  | 0.00     |
| Other fee (specify) _____  |                            |  | 0.00     |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 0.00

## SUBMITTED BY

Name (Print/Type) **Frederick D. Bailey**  
Signature 

Registration No. (Attorney/Agent) **42,282**

## Complete (if applicable)

Telephone **703-312-6600**  
Date **Dec. 28, 2000**

## WARNING:

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Attachment to PTO/SB/05 (4/98) Utility Patent Application  
Transmittal

1. METHOD AND DEVICE FOR LAN EMULATION OVER INFINIBAND FABRICS

